

To: Members of the Governance and  
Audit Committee

Date: 16 November 2023

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Dear Councillor

You are invited to attend a meeting of the **GOVERNANCE AND AUDIT COMMITTEE** to be held at **9.30 am** on **WEDNESDAY, 22 NOVEMBER 2023** in **COUNTY CHAMBER, COUNTY HALL, RUTHIN AND BY VIDEO CONFERENCE.**

Yours sincerely

G. Williams  
Monitoring Officer

## **AGENDA**

### **1 APOLOGIES**

### **2 DECLARATION OF INTERESTS (Pages 5 - 6)**

Members to declare any personal or prejudicial interests in any business identified to be considered at this meeting.

### **3 URGENT MATTERS**

Notice of items, which in the opinion of the Chair should be considered at the meeting as a matter of urgency pursuant to Section 100B(4) of the Local Government Act 1972.

### **4 MINUTES (Pages 7 - 20)**

To receive the minutes of the Governance and Audit Committee meeting held on 20 September 2023 (copy enclosed).

**5 CORPORATE RISK REGISTER: SEPTEMBER 2023 REVIEW** (Pages 21 - 102)

To receive an update report by the Strategic Planning and Performance Officer, on the September 2023 Review of the Corporate Risk Register and the Risk Appetite Statement (copy enclosed).

**6 BUDGET PROCESS UPDATE** (Pages 103 - 114)

To receive a report by the Head of Finance and Audit on the revised financial position and progress on the budget strategy for setting the budget for 2024/25 (copy enclosed).

**7 TREASURY MANAGEMENT UPDATE** (Pages 115 - 140)

To receive an update report by the Head of Finance and Audit on details of the Council's Treasury Management activities during 2023/24 to date (copy enclosed).

**8 INTERNAL AUDIT UPDATE** (Pages 141 - 166)

To consider a report by the Chief Internal Auditor (copy enclosed) updating members on Internal Audit progress.

**9 ANNUAL SIRO REPORT** (Pages 167 - 176)

To receive a report by the Chief Digital Officer and Interim Senior Information Risk Owner (copy enclosed) which details breaches of the Data Protection Act, Freedom of Information, Environmental Information and Data Protection requests received by the Council and information from schools.

**10 ANNUAL CORPORATE HEALTH AND SAFETY REPORT** (Pages 177 - 252)

To consider the annual Corporate Health and Safety annual report (copy enclosed).

**11 PROPERTY COMPLIANCE REPORT** (Pages 253 - 282)

To receive a report by the Property Health & Safety Manager (copy enclosed) on Property Compliance (including Fire) detailing programme and performance.

**12 WALES AUDIT - TIMETABLE OF WORK** (Pages 283 - 304)

To receive the Wales Audit report (copy enclosed) on the Denbighshire County Council – Detailed Audit Plan 2023.

**13 GOVERNANCE AND AUDIT COMMITTEE WORK PROGRAMME** (Pages 305 - 312)

To consider the committee's forward work programme (copy enclosed).

## **FOR INFORMATION**

### **14 WALES AUDIT CERTIFICATION OF GRANTS (Pages 313 - 322)**

To receive for information, the Wales Audit report, entitled Certification of Grants and Returns 2021-22 – Denbighshire County Council (copy enclosed).

## **MEMBERSHIP**

### **Councillors**

Ellie Chard  
Justine Evans  
Carol Holliday

Arwel Roberts  
Andrea Tomlin  
Mark Young

### **Lay Member**

Nigel Rudd  
David Stewart

Paul Whitham

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